

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problems Mailbox.**



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification 4 :</b> <b>A61K 37/02, 37/26, 45/02</b> <b>// (A61K 37/26, 37:02) (A61K 45/02</b> <b>A61K 37:02)</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 89/10134</b> <b>(43) International Publication Date:</b> <b>2 November 1989 (02.11.89)</b>
<b>(21) International Application Number:</b> PCT/US89/01589 <b>(22) International Filing Date:</b> 14 April 1989 (14.04.89) <b>(30) Priority data:</b> 185,702 25 April 1988 (25.04.88) US <b>(60) Parent Application or Grant</b> <b>(63) Related by Continuation</b> US 185,702 (CIP) Filed on 25 April 1988 (25.04.88) <b>(71) Applicant (for all designated States except US):</b> THE REGENTS OF THE UNIVERSITY OF CALIFORNIA [US/US]; 300 Lakeside Drive, 22nd Floor, Oakland, CA 94612 (US). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only) :</b> PARDRIDGE, William, M. [US/US]; 1180 Tellem Drive, Pacific Palisades, CA 90272 (US). SCHIMMEL, Paul, R. [US/US]; 31 Solomon Pierce Road,		Lexington, MA 02173 (US). <b>(74) Agents:</b> OLDENKAMP, David, J. et al.; Poms, Smith, Lande & Rose, 2121 Avenue of the Stars, Suite 1400, Los Angeles, CA 90067 (US). <b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), BR, CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent), SU, US. <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> CHIMERIC PEPTIDES FOR NEUROPEPTIDE DELIVERY THROUGH THE BLOOD-BRAIN BARRIER  <b>(57) Abstract</b> <p>Chimeric peptides adapted for delivering neuropharmaceutical agents, such as neuropeptides into the brain by receptor-mediated transcytosis through the blood-brain barrier. The chimeric peptides include a peptide which by itself is capable of crossing the blood-brain barrier by transcytosis at a relatively high rate. The transportable peptide is conjugated to a hydrophilic neuropeptide which by itself is transportable only at a very low rate into the brain across the blood-brain barrier. The resulting chimeric peptide is transported into the brain at a much higher rate than the neuropeptide alone to thereby provide an effective means for introducing hydrophilic neuropeptides into the brain through the blood-brain barrier.</p>		

***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	ML	Mali
AU	Australia	GA	Gabon	MR	Mauritania
BB	Barbados	GB	United Kingdom	MW	Malawi
BE	Belgium	HU	Hungary	NL	Netherlands
BG	Bulgaria	IT	Italy	NO	Norway
BJ	Benin	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LI	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland				

CHIMERIC PEPTIDES FOR NEUROPEPTIDE DELIVERY  
THROUGH THE BLOOD-BRAIN BARRIER

BACKGROUND OF THE INVENTION

5       The present invention relates generally to the  
introduction of neuropharmaceutical agents into the  
brain by transcytosis across the blood-brain barrier.  
More particularly, the present invention relates to  
chimeric peptides which are capable of transporting  
10 neuropharmaceutical agents into the brain by receptor-  
mediated transcytosis across the blood-brain barrier.

This invention was made with Government support  
under Grant No. NS-17701 awarded by the National  
Institutes of Health. The Government has certain rights  
15 in this invention. This application is a continuation-  
in-part of copending application Serial No. 06/891,867.

The vertebrate brain has a unique capillary system  
which is unlike that in any other organ in the body.  
The unique capillary system has morphologic characteris-  
20 tics which make up the blood-brain barrier (BBB). The  
blood-brain barrier acts as a systemwide cellular  
membrane which separates the brain interstitial space  
from the blood.

The unique morphologic characteristics of the brain  
25 capillaries which make up the BBB are: (a) epithelial-  
like high resistance tight junctions which literally  
cement all endothelia of brain capillaries together, and  
(b) scanty pinocytosis or transendothelial channels,  
which are abundant in endothelia of peripheral organs.  
30 Due to the unique characteristics of the blood-brain  
barrier, hydrophilic drugs and peptides that readily  
gain access to other tissues in the body are barred from  
entry into the brain or their rates of entry are very  
low.

Various strategies have been developed for introducing those drugs into the brain which otherwise would not cross the blood-brain barrier. The most widely used strategies involve invasive procedures where the drug is delivered directly into the brain. The most common procedure is the implantation of a catheter into the ventricular system to bypass the blood-brain barrier and deliver the drug directly to the brain. These procedures have been used in the treatment of brain diseases which have a predilection for the meninges, e.g., leukemic involvement of the brain.

Although invasive procedures for the direct delivery of drugs to the brain ventricles have experienced some success, they have not been entirely successful because they only distribute the drug to superficial areas of the brain tissues, and not to the structures deep within the brain. Further, the invasive procedures are potentially harmful to the patient.

Other approaches to circumventing the blood-brain barrier utilize pharmacologic-based procedures involving drug latentiation or the conversion of hydrophilic drugs into lipid-soluble drugs. The majority of the latentiation approaches involve blocking the hydroxyl, carboxyl and primary amine groups on the drug to make it more lipid-soluble and therefore more easily transported across the blood-brain barrier. Although the pharmacologic approaches have been used with some success, they may not be entirely satisfactory for delivery of peptides through the BBB based on the inventor's experience with cyclosporin transport through the BBB. Cyclosporin is a highly latentiated (lipid-soluble) peptide that crosses the BBB relatively slowly.

Another approach to circumventing the blood-brain barrier involves the intra-arterial infusion of hypertonic substances which transiently open the blood-brain barrier to allow passage of hydrophilic drugs. However,

hypertonic substances are potentially toxic and may damage the blood-brain barrier.

There presently is a need to provide improved substances and methods for delivering hydrophilic drugs and peptides across the blood-brain barrier and into the brain. It is desirable that such improved substances and methods provide for uniform introduction of the hydrophilic peptide or drug throughout the brain and present as little risk to the patient as possible.

10

#### SUMMARY OF THE INVENTION

In accordance with the present invention, new procedures and substances are disclosed which provide uniform distribution of neuropeptides and other drugs throughout the brain while reducing the problems inherent in prior invasive and pharmacologic drug introduction procedures.

The present invention is based on the surprising discovery that hydrophilic peptides may be physiologically transported across the blood-brain barrier by coupling or conjugating the drug to a transportable peptide which is capable of crossing the blood-brain barrier by receptor-mediated transcytosis. This discovery is particularly surprising in view of the traditional notion that the blood-brain barrier is a passive barrier which is impenetrable by hydrophilic drugs or peptides.

The invention involves novel chimeric peptides which are adapted to deliver a neuropharmaceutical agent into the brain by transcytosis across the blood-brain barrier. The chimeric peptides include a transportable peptide that is capable of crossing the blood-brain barrier at relatively high rate by receptor-mediated transcytosis. The transportable peptide is conjugated with a neuropharmaceutical agent to form the chimeric peptide. The neuropharmaceutical agent is generally a

hydr philic peptide that does not by itself significantly cross the BBB. The conjugation of transportable peptides with neuropharmaceutical agents was surprisingly found to produce chimeric peptides which were capable  
5 of being transported across the blood-brain barrier.

Histones are a group of naturally occurring proteins which have been found to be well suited for use as a transportable peptide in accordance with the present invention. Since histones are naturally  
10 occurring substances, they do not require organic synthesis and the possibility of an immune response associated with synthetically derived materials is greatly reduced.

The chimeric peptides are believed to be transported across the blood-brain barrier by the physiologic process of transcytosis via receptors in the blood-brain barrier. This insures that the chimeric peptide is distributed uniformly to all parts of the brain. In addition, the introduction of the chimeric peptide into  
15 the brain by a physiologic pathway reduces the harmful side effects and risks inherent in the traditional invasive and pharmacological approaches.

The present invention also includes methods for administering the chimeric peptides subcutaneously or  
25 intranasally and the chimeric peptide containing compositions utilized in such methods of treatment.

The above-discussed and many other features and attendant advantages of the present invention will become apparent as the invention becomes better understood by reference to the following detailed description  
30 when considered in conjunction with the accompanying drawing.

#### BRIEF DESCRIPTION OF THE DRAWING

35 Fig. 1 is a chart showing the results of the tests described in Example No. 2.

Fig. 2 is a chart depicting the results of tests in accordance with example 9 showing the uptake of histone by brain capillaries.

Fig. 3 is a chart depicting the results of histone uptake tests in accordance with example 9 which show the temperature and time dependence of the transport mechanism.

Fig. 4 is a chart showing the linearity of histone with respect to the amount of capillary protein.

Fig. 5 is a chart showing the total and acid resistant binding of [ $^{125}\text{I}$ ]-histone plotted versus time at 37°C and 4°C incubations.

Fig. 6 depicts charts wherein the binding (% bound/mg<sub>p</sub>) of [ $^{125}\text{I}$ ]-histone is plotted versus the concentration of unlabeled histone in the incubation vessel and wherein bound (B)/free (F) is plotted versus the [ $^{125}\text{I}$ ]-histone bound to the bovine brain capillaries (nmol/mg<sub>p</sub>).

Fig. 7 is a chart showing the serum [ $^3\text{H}$ ]-albumin and [ $^{125}\text{I}$ ]-histone radioactivity, A(t), (DPM/ml/percent injected) plotted versus time after a single intravenous injection of both isotopes.

#### DETAILED DESCRIPTION OF THE INVENTION

The chimeric peptides in accordance with the present invention are useful in delivering a wide variety of neuropharmaceutical agents to the brain. The invention is particularly well suited for delivering neuropharmaceutical agents which are hydrophilic peptides. These hydrophilic peptides are generally not transported across the blood-brain barrier to any significant degree.

Exemplary hydrophilic peptide neuropharmaceutical agents are: thyrotropin releasing hormone (TRH) - used to treat spinal cord injury and Lou Gehrig's disease; vasopressin - used to treat amnesia; alpha interferon-



used to treat multiple sclerosis; somatostatin - used to treat Alzheimer's disease; endorphin - used to treat pain; L-methionyl (sulfone)-L-glutamyl-L-histidyl-L-phenylalanyl-D-lysyl-L-phenylalanine (an analogue of  
5 adrenocorticotrophic hormone (ACTH)-4-9) - used to treat epilepsy; and muramyl dipeptide - used to treat insomnia. All of these neuropharmaceutical peptides are available commercially or they may be isolated from natural sources by well-known techniques.

10 The following description will be limited to chimeric peptides in which the neuropharmaceutical agents are hydrophilic peptides (neuropeptides) with it being understood that the invention has application to  
15 any neuropharmaceutical agent which by itself is transported at a low or non-existent rate across the blood-brain barrier. The invention also has application where it is desired to increase the rate at which the neuropharmaceutical agent is transported across the blood-brain barrier.

20 The chimeric peptide includes the hydrophilic peptide drug conjugated to a transportable peptide which is capable of crossing the blood-brain barrier by transcytosis at a much higher rate than the hydrophilic neuropeptides. Suitable transportable peptides include:  
25 histone, insulin, transferrin, insulin-like growth factor I (IGF-I), insulin-like growth factor II (IGF-II), basic albumin and prolactin.

Transferrin is an 80K glycoprotein that is the principal iron transport protein in the circulation.  
30 Transferrin is also a protein that is enriched in the cerebrospinal fluid (CSF). Transferrin is widely available and may be purchased or isolated from blood or CSF by well-known procedures.

Insulin, IGF-I and IGF-II are also commonly  
35 available. Insulin is available on a wide scale commercially and may also be recovered from natural

sources by well-known techniques. IGF-I and IGF-II are available from commercial outlets such as Amgen or Peninsula Labs or they may be isolated from natural sources according to the procedure of Rosenfeld et al.

5 (J. Clin Endocrinol. Metab. 55, 434, 1982).

Basic albumin or cationized albumin has a isoelectric point (pI) of 8.5 as compared to a pI of 3.9 for natural albumin. Cationized albumin, unlike natural albumin, enters the brain rapidly across the blood-brain  
10 barrier. Cationized albumin (pI = 8.5) is prepared preferably by covalent coupling of hexamethylene-diamine (HMD) to bovine serum albumin (pI = 3.5) according to Bergmann, et al., "Cationized Serum Albumin Enhances  
15 Response of Cultured Fetal Rat Long Bones To Parathyroid Hormone", Endocrinology, 116:1729-1733 (1985). An exemplary synthesis is as follows: 10 ml of a 10% solution of albumin in water is slowly added to 60 ml of 2.0 M HMD and the pH of the solution is adjusted to 6-7 with 1N HCl. After 30 minutes, 1 g of N-ethyl-N'-3-  
20 (dimethylaminopropyl) carbodiimide hydrochloride (EDAC) is added to activate the carboxyl groups of the albumin, followed by the addition of another 1 g EDAC 1 hour later. The pH is constantly adjusted to 6-7 with 0.2N HCl. The solution is allowed to stand overnight with  
25 constant stirring. The next day the solution is dialyzed extensively against distilled water. This solution is then purified by chromatofocusing using the Pharmacia polybuffer exchanger 94 resin and the polybuffer 96 elution buffer.

30 Histone is especially well suited for use as a transportable peptide because it is a naturally occurring protein that does not require organic synthesis such as the above procedure for preparing basic albumin. Further, the absence of antibody response to the  
35 naturally occurring histone makes it suitable in many situations where immune responses to synthesized

materials, such as cationized albumin, would potentially limit its utility. Histones are a group of lysine-rich, highly cationic proteins that are subdivided into five classes (H1, H2, H3, H4, and H5). There are multiple subtypes in each of the five classes. These proteins are found in the nucleus of all cells and are tightly bound to the phosphate groups of chromatin. The histone molecules play a vital role in chromatin organization. The histones are routinely isolated from the acid-soluble fraction of nuclei isolated from calf thymus, chicken erythrocytes, or other starting materials. The different subtypes are separated by a number of well known techniques, such as isoelectric focusing or ion-exchange chromatography.

One characteristic of the histone molecules which is important for linkage chemistry is that the histones, with the exception of H3, lack a cysteine sulfhydryl group. Histones are known to undergo an extensive number of chemical modifications within the normal cell that include N-methylation, O-methylation, acetylation, phosphorylation, adenosine diphosphate (ADP) ribosylation, ubiquitination, and enzymatic hydrolysis of specific peptide bonds.

Histones are available from a wide variety of commercial sources or they may be isolated according to known procedures set forth in the following references:

Wu, R.S., Panusz, H.T., Hatch, C.L., and Bonner, W.M. (1986): Histones and their modifications. CRC Crit. Rev. Biochem. 20: 201-263; and

Coles, L.S., Robins, A.J., Madley, L.K., and Wells, J.R.E. (1987): Characterization of the chicken histone H1 gene complement. J. Biol. Chem. 262: 9656-9663.

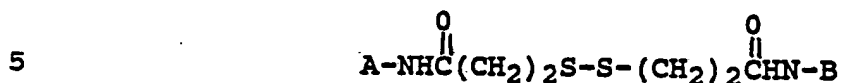
Histones isolated from any of the conventional sources may be used and the particular class or subtype

is also not particularly critical. It is preferred that histones isolated from human sources be used for preparing chimeric peptides for use in treating humans.

Another suitable transportable peptide is prolactin. Prolactin is a hormone which is secreted by the anterior pituitary. Prolactin is widely available commercially or it can be isolated from pituitary glands by well-known procedures.

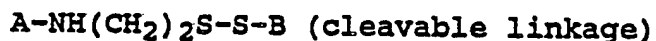
The chimeric peptides are made by conjugating a transportable peptide with the neuropharmaceutical peptide. The conjugation may be carried out using bifunctional reagents which are capable of reacting with each of the peptides and forming a bridge between the two. The preferred method of conjugation involves peptide thiolation wherein the two peptides are treated with a reagent such as N-Succinimidyl 3-(2-pyridyldithio) propionate (SPDP) to form a disulfide bridge between the two peptides to form the chimeric peptide. Other known conjugation agents may be used, so long as they provide linkage of the two peptides (i.e. the hydrophilic peptide drug and the transportable peptide) together without denaturing them. Preferably, the linkage can be easily broken once the chimeric peptide has entered the brain. Suitable examples of conjugation reagents include: glutaraldehyde and cystamine and EDAC. Conjugation of peptides using glutaraldehyde is described in Poznansky et al., *Insulin: Carrier potential for enzyme and drug therapy*. Science 223:1304-1306, 1984. Conjugation of peptides using cystamine and EDAC is described in Ito et al., *Transmembrane delivery of polypeptide hormones bypassing the intrinsic cell surface receptors: a conjugate of insulin with  $\alpha$ 2-macroglobulin ( $\alpha$ 2M) recognizing both insulin and  $\alpha$ 2M receptors and its biological activity in relation to endocytic pathways*. Mol Cell Endocrinol 36:165, 1984.

Examples of preferred chimeric peptides include those having the general structure

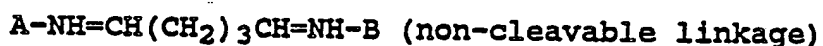


where A is somatostatin, thyrotropin releasing hormone (TRH), vasopressin, alpha interferon, endorphin, muramyl dipeptide or ACTH 4-9 analogue; and B is histone, 10 insulin, IGF-I, IGF-II, transferrin, cationized (basic) albumin or prolactin.

Other examples of preferred chimeric peptides include those listed above wherein the disulfide conjugating bridge between A and B is replaced with bridges 15 having the following structures:



which are formed when cystamine and EDAC are employed as 20 the bridge reagents;



which are formed when glutaraldehyde is employed as 25 bridge reagent.

The chimeric peptides can be introduced into the body by any conventional procedure including parenteral injection or intranasal inhalation. Preferably, the chimeric peptides are combined with a compatible pharmaceutical carrier and injected parenterally or if 30 desired combined with a suitable carrier and administered intranasally in accordance with the well-known conventional procedures used for intranasal administration of insulin. Suitable carrier solutions include 35 those commonly used in injectable or nasal-inhaled hormone preparations such as sterile saline at a pH of

around 5 which include common bacteriostatic agents. The concentration of a chimeric peptide in the carrier will vary depending upon the specific transportable peptide and the specific neuropharmaceutical peptide. 5 Preferably, levels of the chimeric peptide in the carrier should be between about 0.001 weight percent to 0.01 weight percent. As a general rule, the dosage levels and percent of chimeric peptides present in the injection or intranasal solution should correspond to 10 the accepted and established dosages for the particular neuropharmaceutical peptide as well as the transportable peptide.

Examples of practice are as follows:

15 Example 1 - Synthesis of Somatostatin-Insulin Chimera

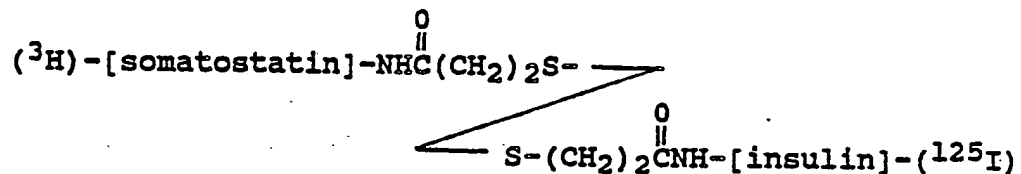
Somatostatin, a peptide deficient in the brain of Alzheimer's disease, is a peptide which is not transported through the blood-brain barrier. Conversely, insulin is a peptide that is transported through the 20 blood-brain barrier. The transportability of insulin through the blood-brain barrier is set forth in my article entitled "Receptor-Mediated Peptide Transport Through The Blood-Brain Barrier" (Endocrine Reviews, Vol. 7, No. 3, August 1986), the contents of which is 25 hereby incorporated by reference.

Somatostatin and insulin were conjugated by peptide thiolation using a reversible peptide-peptide conjugation method as described by Carlsson, et al. in "Protein Thiolation and Reversible Protein-Protein Conjugation" 30 (Biochem. J. (1978) 173, 723-737). A heterobifunctional reagent, N-succinimidyl 3-(2-pyridyldithio)propionate (SPDP), was used to couple a lysine or free N-terminus on insulin to a free lysine or amino terminus on somatostatin. Approximately 0.3 mg of insulin and 26 uCi of 35 <sup>125</sup>I-insulin in 2 ml of phosphate buffered saline was prepared. To half of this was added 4 lambdas of 20 mM

fresh SPDP and this was incubated at room temperature for 45 minutes.

Separately, 180 uCi of tritiated somatostatin in 180 uL of 0.01 N HCl was solubilized and added to 180 uL of 0.2 M phosphate buffered saline. To half of this, 4 uL of 20 mM SPDP was added and this was incubated for 45 minutes, followed by acidification with 20 uL of 0.75 M sodium acetate (pH = 4.5) followed by reduction with 20 uL of 0.25 M dithiothreitol. This was incubated at room temperature for 30 minutes followed by brief dialysis to remove unreacted small molecules. The conjugated insulin and conjugated somatostatin were then incubated overnight at room temperature followed by dialysis and counting for tritium and  $^{125}\text{I}$  radioactivity. This resulted in a total of 53 uCi of  $^3\text{H}$ -somatostatin coupled to 5.3 uCi of  $^{125}\text{I}$ -insulin in 2 ml of phosphate buffered saline.

The structure of the somatostatin-insulin chimera is shown below.



Somatostatin has the following amino acid sequence:  
Ala-Gly-Cys-Lys-Asn-Phe-Phe-Trp-Lys-Thr-Phe-Thr-Ser-Cys.  
Insulin is a double chain protein hormone whose structure is well known.

**Example 2 - Radioreceptor Assay Using Isolated Bovine Brain Microvessels and  $^3\text{H}$ -Somatostatin- $^{125}\text{I}$ -Insulin Chimera**

35 Somat statin was obtained from Peninsula Labora-  
tories and tritiated by reductive methylation using  $^3\text{H}$ -  
sodium borohydride. Insulin was obtained from Sigma

Chemical Company and was iodinated by oxidative iodination using chloramine T and  $^{125}\text{I}$ -iodin. The two compounds were coupled together using SPDP as described in Example 1. Bovine brain microvessels were isolated  
5 as described in Pardridge, et al., "Rapid Sequestration And Degradation Of Somatostatin Analogues By Isolated Brain Microvessels", (Journal of Neurochemistry, Vol. 44, No. 4, 1985, pp. 1178-1184).

$^3\text{H}$ -somatostatin was added to one set of micro-  
10 vessels for up to 60 minutes incubation at room temperature. In another set of incubations, the  $^3\text{H}$ -somatostatin- $^{125}\text{I}$ -insulin chimera was also added. As shown in Fig. 1, the uptake of the chimera was more than double that of the free somatostatin. Moreover, the uptake of  
15 the chimera increased with time, whereas there was no increase in time with the free somatostatin. The uptake of the free somatostatin likely represents nonspecific binding as described in the article mentioned above (Journal of Neurochemistry, Vol. 44, No. 4, 1985).

20 This example demonstrates the receptor-mediated transcytosis or endocytosis of somatostatin-insulin chimera via the insulin receptor. Previous studies have shown that the receptor-mediated endocytosis of peptides in the isolated brain microvessels is a reliable index  
25 of the in vivo blood-brain barrier receptor transport activity of peptides in vivo (see my previously-mentioned article in Endocrine Reviews, Vol. 7, No. 3, August 1986).

30 Example 3:

A chimeric peptide is prepared according to the same procedure as in Example 1 except that transferrin is substituted for insulin. The resulting chimeric peptide is combined with sterile saline to provide a  
35 solution containing 0.01 weight percent chimeric peptide which is administered to the patient parenterally or



intranasally.

Example 4:

5 A chimeric peptide is prepared according to the same procedure as in Example 1 except that vasopressin is substituted for somatostatin. The resulting chimeric peptide is combined with sterile saline to provide a solution containing 0.01 weight percent chimeric peptide which is administered to the patient parenterally.

10

Example 5:

15 A chimeric peptide is prepared according to the same procedure as in Example 1 except that transferrin is coupled to alpha-interferon. The resulting chimeric peptide is combined with sterile saline to provide a solution containing 0.01 weight percent chimeric peptide which is administered to the patient or subject parenterally or intranasally.

20 Example 6:

A chimeric peptide is prepared according to the same procedure as in Example 1 except that IGF-II is coupled to beta-endorphin. The resulting chimeric peptide is combined with sterile saline to provide a solution containing 0.01 weight percent chimeric peptide which is administered to the patient or subject parenterally or intranasally.

25

Example 7:

30 A chimeric peptide is prepared according to the same procedure as in Example 1 except that insulin is coupled to ACTH 4-9 analogue. The resulting chimeric peptide is combined with sterile saline to provide a solution containing 0.01 weight percent chimeric peptide which is administered to the patient or subject parenterally or intranasally.

35

Example 8:

A chimeric peptide is prepared according to the same procedure as in Example 1 except that cationized albumin is coupled to hexosaminidase A. The resulting  
5 chimeric peptide is combined with sterile saline to provide a solution containing 0.01 weight percent chimeric peptide which is administered to the patient or subject parenterally or intranasally.

10 Example 9:

A chimeric peptide is prepared according to the same procedure as in Example 1 except that commercially available bovine histone type V is substituted for insulin. The resulting chimeric peptide is combined  
15 with sterile saline to provide a solution containing 0.01 weight percent chimeric peptide which is administered to the patient parenterally or intranasally.

Example 10:

20 To demonstrate the usefulness of histone as a polycationic transportable peptide, the following uptake tests were conducted.

A radio receptor assay with bovine brain capillaries was conducted according to the procedure set  
25 forth in Example 2, except that commercially supplied bovine histone type V, instead of the somatostatin-insulin chimera, was radiolabeled with  $^{125}\text{I}$ -iodine and chloramine-T. The histone was incubated with the brain capillaries for 60 minutes and the percent uptake per mg  
30 brain capillaries was determined. The results of these tests are depicted in Fig. 2 and they show that the uptake of the histone was high, approximating 110% bound per mg protein (background binding is 3-5% per mg protein). Also, it was found and Fig. 2 shows that the  
35 uptake of the histone is readily saturable by increasing concentrations of unlabeled histone and that the 50%

inhibition point is reached at a concentration of 300 ug/ml or approximately 14 uM. This saturation response is typical of a receptor-mediated or absorptive-mediated endocytosis mechanism.

5       The amount of histone V uptake was measured at 2, 10, 30 and 60 minute intervals. It was found that the uptake of the histone increases with time as shown in Fig. 3. Incubations of histone V were conducted at 37°C. and 4°C. Histone uptake was inhibited at 4°C. as  
10 also shown in Fig. 3. Further, histone uptake resistance to acid wash was measured by treating the bovine brain capillaries with a mild acid wash after histone uptake was completed. The acid wash was accomplished by rinsing the brain capillaries in a solution of cold  
15 0.028 molar sodium acetate, 0.02 molar sodium barbital and 0.12 molar sodium chloride having a pH of about 3.0. The results of these tests showed that the uptake of histone by the isolated brain capillaries is partially resistant to the mild acid wash. See the graphic  
20 results in Fig. 3 showing acid resistant histone uptake. The results indicate that about one-third of the histone taken up is actually endocytosed into the brain capillaries. The above results showing that both binding and endocytosis are slowed by incubation at 4°C. is typical  
25 of a receptor mediated-mediated or adsorptive-mediated uptake mechanism for transport across the blood-brain barrier.

      A one nanomolar concentration of the  $^{125}\text{I}$ -histone V was incubated at room temperature for 10 minutes in the  
30 presence of brain capillaries. The amount of labeled histone taken up by the brain capillaries was then determined. The results of the tests showed that the uptake of the  $^{125}\text{I}$ -histone V is linear with respect to the amount of capillary protein in the incubation flask.  
35 The results of these tests are shown graphically in Fig. 4.

Example 11 -

This example demonstrates the preparation of a histone/horseradish peroxidase conjugate which was tested and shown to be sequestered by brain microvas-  
5 culature. The histone/horseradish peroxidase conjugate was prepared as follows.

Sulfhydryl groups were introduced onto histone by a modification of the procedures described by Jue et al. (1978) Biochemistry 17:5399-5405. Sixteen milligrams of  
10 histone (Sigma type II-AS) was dissolved in 3.0 ml of 50 mM triethanolamine buffer, pH 8.25, in a sealed reaction vessel. The protein solution was exhaustively degassed and purged with nitrogen. To this was added 0.074 ml of a 0.5 M solution of 2-iminothiolane (Pierce) via  
15 syringe. The mixture was allowed to react for 45 minutes at 25°C. In order to reduce all sulfhydryls, the product was then incubated with 0.5 ml of a 0.1 M dithiothreitol (Calbiochem) for 30 min. at 37°C with mild shaking (75 rpm). The modified protein was  
20 purified from the reaction mixture by chromatography over Sephadex G-25 (1.5 x 30 cm) using degassed, nitrogen purged triethanolamine buffer. Protein elution was monitored by UV absorbance at 280 nm [5-5'Dithio-  
bis-(2-nitrobenzoic acid), Ellman (1959) Arch. Biochem.  
25 Biophys. 82:70-77]. Typically, 1.1 moles of sulfhydryl-/mole of protein were introduced using these conditions.

Horseradish peroxidase (HRP) was derivatized with maleimide groups using N-γ-maleimidobutyryloxysuc-  
cinimide (GMBS) by a method described by Hashida et al.  
30 (1984) J. Appl. Biochem. 6:56-63. To 20 mg of peroxi-  
dase (0.5 mmoles, Boeringer Mannheim EIA grade) was added 1.5 ml of 0.1 M sodium phosphate buffer, pH 7.0. Sixteen mg (57 mmoles) GMBS was dissolved in 0.2 ml of DMF and added to the peroxidase solution. The mixture  
35 was shaken for 30 minutes at 30°C. Derivatized HRP was isolated by chromatography of the reaction mixture over

-18-

Sephadex G-25 (1.5 x 30 cm) using 0.1 M phosphate, pH 6 as an eluant. HRP elution was monitored by its absorbance at 403 nm.

5 The pH of the thiolated histone solution was adjusted to 6 with 1 N HCl. This was immediately added to the maleimide derivatized HRP. The solution was exhaustively degassed, nitrogen purged and septum sealed. The components were allowed to react for 48 hours at 25°C. Separation of the conjugate from  
10 unreacted proteins was achieved on a Sephadex G 100 SF column (2.5 x 54 cm) using 0.1 M phosphate, pH 6 as an eluant. Fractions isolated from the column were checked for absorbance at 230 nm and 403 nm. The first absorbing eluant was pooled, dialyzed against water at 4°C  
15 overnight and lyophilized. Following reconstitution in 2 mL of PBS, 80% (16 mg) of the peroxidase was isolated with the conjugate peak. SDS-page analysis on the conjugate revealed several bands of MW 54 kD and above and could not detect any free HRP or histone. Furthermore,  
20 total protein, as determined by the method of Lowry, indicated 1/3 of the mass could be accounted for by HRP suggesting at 6/1 histone/HRP conjugation ratio. The conjugate was adjusted to 1 mg/ml (total protein by Lowry method) and stored at 4°C prior to in vivo  
25 analysis.

The histone/HRP conjugate was tested as follows:

BALB/C mice (6 weeks, female) were injected intravenously with 0.2 ml of histone/HRP conjugate in a  
30 phosphate buffered saline (PBS). Animals were killed after 15 minutes with a lethal injection of chlorohydrate, perfused with 5.0 ml of PBS containing 4% paraformaldehyde, and then the brain was removed and placed into fixative for an additional 15 minutes.  
35 Frozen sections (30 microns thick) were prepared and floated in PBS for at least 20 minutes. The sections

were removed from PBS and placed in incubation solution [20 mM sodium acetate, pH 3.3, 2.5% ethanol, 4mM sodium nitroprusside, 250 mM 3,3',5,5'- tetramethyl benzidine (TMB)] for 20 minutes. The reaction was initiated by the addition of 1 ml of 0.3% H<sub>2</sub>O<sub>2</sub> to each 100 ml of incubation solution. The reaction was allowed to continue for 10 minutes at room temperature and then the sections were transferred to 20 mM sodium acetate pH 3.3. The sections were washed six times for five minutes each. Tissue sections were mounted onto gelatin coated slides and allowed to dry for seven hours at room temperature. The slides were heated at 60°C for 1 hour, and stained for 30 seconds with 0.5% toluidine blue pH 4.5. The slides were dehydrated in a graded alcohol series, washed with xylene, and mounted with Permount.

The capillaries of the brain were visualized by the presence of the TMB reaction product associated with the luminal surface of the capillary. The product was observed in animals injected with the histone/HRP conjugate, but was not observed in animals injected with either HRP alone or in PBS injected animals. Both white and gray matter were labeled with TMB. There was no overt appearance of specific localization to only highly vascularized areas of the brain.

25

#### Example 12 -

This example sets forth experiments which demonstrate that histone is capable of penetrating the blood-brain barrier (BBB) in vivo. Calfthymus histone was iodinated with [<sup>125</sup>I]-iodine and was found to be rapidly taken up by isolated bovine brain capillaries used as an in vitro model system of the BBB via a time- and temperature-dependent mechanism. The binding was saturable and a Scatchard plot of the binding data was linear, yielding a K<sub>D</sub> = 15.2 ± 2.8 μM and a maximal binding (B<sub>max</sub>) = 7.7 ± 1.0 nmol/mg protein (Fig. 5).

Other polycations such as protamine or polylysine markedly inhibited uptake of [ $^{125}\text{I}$ ]-histone, but cationized albumin demonstrated minimal inhibition and cationized immunoglobulin caused no inhibition of bovine brain capillary uptake of [ $^{125}\text{I}$ ]-histone. The in vivo brain volume of distribution of [ $^{125}\text{I}$ ]-histone reached  $159 \pm 70 \mu\text{L/g}$  by ten minutes of carotid arterial perfusion as compared to the 10 minute volume of distribution for [ $^3\text{H}$ ]-albumin,  $17 \pm 7 \mu\text{L/g}$ . Most of this uptake represented sequestration by the vasculature, but approximately 8% of the total histone taken up by brain was found to be transported unmetabolized (based on trichloroacetic acid (TCA) precipitability) into brain interstitium.

The experiments were conducted as follows:

#### METHODS

##### Materials

[ $^{125}\text{I}$ ]-iodine was obtained from DuPont-New England Nuclear Corporation (Boston, MA). [ $^3\text{H}$ ]- $\text{NaBH}_4$  was purchased from Amersham Corporation (Chicago, IL). Bovine albumin (Pentex fraction V) was obtained from Miles Laboratories (Elkhart, IN). Male, Sprague-Dawley rats (200-300 g) were obtained from Bantin and Kingman (Fremont, CA). Calf thymus histone VS (lysine-rich) and all other reagents were obtained from Sigma Chemical Company (St. Louis, MO).

##### Histone Iodination

Histone was iodinated to a specific activity of 10-20  $\mu\text{Ci}/\mu\text{g}$  using [ $^{125}\text{I}$ ]-iodine and chloramine T. Fifty  $\mu\text{g}$  of histone (2.3 nmol) were reacted with 2.5 mCi of [ $^{125}\text{I}$ ]-iodine (1.2 nmol) and 2.1 nmol chloramine T followed by a 60 second incubation at room temperature. The mixture was acidified with 0.01 N HCl and applied to an  $0.7 \times 28 \text{ cm}$  column of Sephadex G-25 (medium) and 0.01 N HCl. The iodinated histone eluted in the void volume and was 98% precipitable with trichloroacetic acid

(TCA). The [ $^{125}\text{I}$ ]-histone was stored at 4°C in 0.01 N HCl, but was subject to relatively rapid de-iodination over the course of a week. Therefore, the in vivo carotid artery perfusion experiments were performed with  
5 24 hours of iodination, and the isolated capillary experiments were performed with 3 to 4 days of iodination.

#### Tritiation of Albumin

Bovine albumin (Pentex fraction V) was tritiated to  
10 a specific activity of 0.4  $\mu\text{Ci}/\mu\text{g}$  with [ $^3\text{H}$ ]-NaBH<sub>4</sub> as described previously (Pardridge et al., 1985a). The TCA precipitability of this preparation was >99%.

#### Brain Microvessel Experiments

Bovine brain microvessels were isolated with a  
15 mechanical homogenization technique, as described previously (Pardridge et al.: Rapid sequestration and degradation of somatostatin analogues by isolated brain microvessels. J. Neurochem. 44: 1178-1184, 1985) from fresh bovine cortex obtained from a local slaughter-  
20 house. The final microvessel pellet was cryopreserved in 0.28 M sucrose, 0.02 M Tris (pH 7.4) and 2 mM dithiothreitol in liquid nitrogen (-70°C). On the day of the experiment, the microvessels were thawed, centrifuged, and resuspended in Ringer-HEPES buffer  
25 (RHB) (10 mM HEPES, pH 7.4, 141 mM NaCl, 4 mM KCl, 2.8 mM CaCl<sub>2</sub> and 0.1 gm/dl bovine serum albumin). Uptake of [ $^{125}\text{I}$ ]-histone by bovine brain microvessels was performed as described previously (Pardridge et al.: Cationization of immunoglobulin G (IgG) as a new  
30 strategy for enhanced IgG delivery through the blood-brain barrier. Clin. Res. 37: 140A). Briefly, approximately 100  $\mu\text{g}$  of capillary protein was incubated with 0.2  $\mu\text{Ci}/\text{ml}$  of [ $^{125}\text{I}$ ]-histone in a final volume of 0.45 ml RHB at 37°C or 4°C for time periods ranging from 5  
35 seconds to 60 minutes. Competitive binding studies were performed by adding various concentrations of either



unlabeled histone, cationized immunoglobulin, native or cationized bovine serum albumin, protamine, or polylysine (59,000 molecular weight). At the end of the incubation period, the mixture was centrifuged at 10,000 g for 45 seconds and the capillary pellet was solubilized in 0.5 ml of 1 N NaOH, followed by [ $^{125}$ I] counting and protein determination by the method of Lowry et al. (Protein measurement with the Folin phenol reagent. J. Biol. Chem. 193: 262-275, 1951).

Internalization of the labeled histone by the isolated bovine brain capillaries was assessed by a mild acid wash assay as described previously (Pardridge et al., Rapid sequestration and degradation of somatostatin analogues by isolated brain microvessels. J. Neurochem. 44: 1178-1184, 1985). The acid wash solution consisted of 0.12 M NaCl, 0.02 M sodium acetate (pH = 3) and 0.028 M of sodium barbital.

[ $^{125}$ I]-Histone Transport Through the BBB In Vivo

Quantitation of in vivo transport of [ $^{125}$ I]-histone through the BBB in vivo was determined with an internal carotid artery perfusion technique coupled with a capillary depletion method. Rats were anesthetized with ketamine/xylazine (ketamine, 200 mg/kg, i.p./xylazine, 2 mg/kg, i.p.) and, following exposure of the right common carotid artery, the occipital, superior thyroid, and pterygopalatine arteries were closed by electrocoagulation. The right external carotid artery was catheterized with a polyethylene catheter (PE-10). The common carotid artery was tied just before the perfusion was started and was kept closed. The perfusion consisted of Krebs-Henseleit buffer, pH 7.4 (118 mM NaCl, 4.7 mM KCl, 2.5 mM  $\text{CaCl}_2$ , 1.2 mM  $\text{MgSO}_4$ , 1.2 mM  $\text{KH}_2\text{PO}_4$ , 25 mM  $\text{NaHCO}_3$ , 10 mM D-glucose and 3 gm/dl bovine serum albumin), containing 2.5  $\mu\text{Ci/ml}$  of [ $^{125}$ I]-histone and 25  $\mu\text{Ci/ml}$  of [ $^3\text{H}$ ]-albumin. The perfusate was maintained at 37°C and was continuously oxygenated during the perfusion, which

was carried out at a 1-1.2 ml/min flow rate (Harvard peristaltic pump Model 1210) for 1 to 10 minutes. For perfusion times longer than 2.5 minutes, the rat blood volume was maintained constant by withdrawing blood from  
5 the femoral artery (through a PE-50 catheter filled with heparin) at the same flow rate (Harvard syringe pump Model 940). Following the perfusion, the animals were decapitated and the ipsilateral brain hemisphere was removed. The choroid plexus was discarded, and the  
10 brain was weighed and then homogenized in 3.5 ml of physiologic buffer, pH = 7.4 (10 mM HEPES, 141 mM NaCl, 4 mM KCl, 2.8 mM CaCl<sub>2</sub>, 1 mM MgSO<sub>4</sub>, 1 mM NaH<sub>2</sub>PO<sub>4</sub>, 10 mM D-glucose). Four ml of 26% dextran solution (79,000 molecular weight) was added to a final dextran concentration of 13%, and the material was re-homogenized  
15 (3 strokes). All of the homogenization procedures were performed at 4°C.

After removing an aliquot of the homogenate for radioisotope counting, the remainder was centrifuged at  
20 5,400 g for 15 minutes at 4°C in a swinging bucket rotor (Beckman JA-7.5 rotor, Beckman J2-21 centrifuge). The supernatant and pellet were carefully separated. Microscopic examination of the pellet showed that it consisted of brain vasculature, red cells, and brain  
25 nuclei, whereas the supernatant was essentially devoid of vasculature. Aliquots of the supernatant fraction and perfusate (to which was added an amount of dextran similar to that present in the supernatant) were taken for 25% TCA precipitability. Homogenate, pellet,  
30 supernatant, and perfusate samples were solubilized in 2 ml Soluene-350 (Packard Instrument Co., Downers Grove, IL) and prepared for [<sup>125</sup>I], [<sup>3</sup>H] double isotope liquid scintillation spectrometry as described previously (Pardridge, Carrier-mediated transport of thyroid  
35 hormones through the blood-brain barrier. Primary role of albumin-bound hormone. Endocrinology 105: 605-612,

1979.)

Volumes of distribution for both the [ $^{125}\text{I}$ ]-and the [ $^3\text{H}$ ]-labeled proteins were calculated for the homogenate, pellet, and the postvascular supernatant:

5

$$V_D = \frac{\text{DPM-f/g tissue}}{\text{DPM-ml perfusate}}$$

10 where DPM-f = the DPM in the respective fraction (i.e., homogenate, pellet, or postvascular supernatant).

The supernatant volumes of distribution were corrected as follows:

15 Supernatant  $V_D = \{[^{125}\text{I}] V_D \times \% \text{ TCA precipitability} \} - \{[^3\text{H}] V_D\}$

The corrected supernatant  $V_D$  is a quantitative measure of protein distribution into the brain interstitium following transcytosis across the BBB. The subtraction of the  $V_D$  for [ $^3\text{H}$ ]-albumin was found to be necessary to correct for radioactive protein contained within the lumen of the brain vasculature that leaks from the vessels following homogenization and rupture of these vessels.

25

Clearance of [ $^{125}\text{I}$ ]-Histone and [ $^3\text{H}$ ]-Albumin Following a Single Intravenous Injection

An 0.5 ml aliquot of physiologic buffer containing 5  $\mu\text{Ci}$  of [ $^{125}\text{I}$ ]-histone and 50  $\mu\text{Ci}$  of [ $^3\text{H}$ ]-native albumin was rapidly injected into a femoral vein through a 27-gauge needle. At 0.25, 5, 30, 60, 120 and 180 minutes after the injection, the animal was quickly laparotomized and an 0.5 ml aliquot of arterial blood was removed from the descending aorta followed by decapitation of the animal and extirpation of the brain and nine other organs (heart, liver, spleen, testis, small intestine, skeletal muscle, fat, kidney and lung).

35

The tissues were solubilized in Solu ne-350 and analyzed with double isotope liquid scintillation counting. The blood [ $^3\text{H}$ ] and [ $^{125}\text{I}$ ] radioactivities were normalized to DPM/ml as a percent of injected dose, i.e.,  $A(t)$ , and these data were fit to the following biexponential function:

$$A(t) = A_1 e^{-K_1 t} + A_2 e^{-K_2 t}$$

using a derivative-free nonlinear regression analysis (PAR of BMDP, Biomedical Computer P Series Programs developed at UCLA Health Sciences Computing Facility). Because the standard error was roughly proportional to the means, the data were weighted using weight =  $1/[\text{clearance}]$ . The integral of the arterial radioactivity curve was determined from these data as follows:

$$\frac{A(t)dt}{t} = \frac{A_1(1-e^{-K_1 t})}{K_1 t} + \frac{A_2(1-e^{-K_2 t})}{K_2 t}$$

where  $t$  = time after injection. The volume of distribution of histone or albumin in brain and the nine other organs was determined from the ratio of DPM/gm tissue divided by integrated DPM/ml blood. The TCA precipitability of the serum [ $^3\text{H}$ ]-albumin was greater than 98% at all time points. However, there was a progressive decrease in the TCA precipitability of the [ $^{125}\text{I}$ ]-histone. Therefore, only arterial TCA precipitable [ $^{125}\text{I}$ ] counts were used in computation of the clearance of histone from blood or the organ volumes of distribution.

#### Uptake by Bovine Brain Microvessels

[ $^{125}\text{I}$ ]-histone was rapidly taken up by isolated bovine brain capillaries at  $37^\circ\text{C}$ , and approximately 25% of this uptake was resistant to mild acid wash and is presumed to represent internalized histone (see Fig. 3).

-26-

Both the total binding and internalization were slowed by incubation at 4°C (see Fig. 3). The uptake of the [ $^{125}$ I]-histone by isolated bovine brain capillaries was linear with respect to the amount of capillaries added to the incubation vessel throughout the range of 47-210  $\mu$ g of capillary protein (data not shown). The binding of the [ $^{125}$ I]-histone to the isolated brain microvessels was saturable with an ED<sub>50</sub> of approximately 300  $\mu$ G/ML (14  $\mu$ M) as shown by the data in Fig. 2. These saturation data were analyzed by Scatchard analysis to give the plot in Fig. 5. The dissociation constant ( $K_D$ ) =  $15.2 \pm 2.8$   $\mu$ M and the maximal binding or B<sub>max</sub> =  $7.7 \pm 1.0$  nmol/mg protein. The binding of [ $^{125}$ I]-histone to isolated bovine brain microvessels was inhibited by other polycationic proteins such as protamine or polylysine, but was minimally inhibited by cationized albumin and was not inhibited by cationized immunoglobulin G or native albumin (see Table 1).

Table 1. Competition for [ $^{125}$ I]-Histone Binding to Isolated Bovine Brain Capillaries In Vitro

Medium	% Bound/mgp of [ $^{125}$ I]-Histone
Control	225 $\pm$ 10
2.5 mg/ml cationized immunoglobulin	232 $\pm$ 2
2.5 mg/ml native albumin	211 $\pm$ 9
0.5 mg/ml cationized albumin	171 $\pm$ 13 <sup>a</sup>
0.5 mg/ml histone	113 $\pm$ 4 <sup>b</sup>
0.5 mg/ml protamine	95 $\pm$ 5 <sup>b</sup>
2.5 mg/ml polylysine (59,000)	46 $\pm$ 1 <sup>b</sup>

Data are mean  $\pm$  S.E. (n = 3).

<sup>a</sup><sub>p</sub> < 0.01.

<sup>b</sup><sub>p</sub> < 0.0005.

Transport Through The Rat BBB In Vivo

The homogenate  $V_D$  for [ $^{125}\text{I}$ ]-histone increased with time and reached  $159 \pm 70 \mu\text{Lg}^{-1}$  by 10 minutes (see Table 2). Most of this [ $^{125}\text{I}$ ]-histone taken up by brain, however, was sequestered in the vascular compartment, as the postvascular supernatant  $V_D$  of [ $^{125}\text{I}$ ]-histone at 10 minutes was  $12 \pm 5 \mu\text{Lg}^{-1}$ , which represents 8% of the total uptake. The [ $^{125}\text{I}$ ]-histone in the supernatant that was TCA precipitable was  $90 \pm 3\%$  by 10 minutes of perfusion. The homogenate  $V_D$  for [ $^{125}\text{I}$ ]-histone was nearly ten-fold greater than the homogenate  $V_D$  for [ $^3\text{H}$ ]-albumin (see Table 2). By definition, the supernatant  $V_D$  for [ $^3\text{H}$ ]-albumin = 0. The pellet  $V_D$  for [ $^3\text{H}$ ]-albumin was  $0.76 \pm 0.20 \mu\text{Lg}^{-1}$  at 10 minutes of perfusion, which is >100-fold less than the pellet  $V_D$  for [ $^{125}\text{I}$ ]-histone (see Table 2).

Table 2. Volume of Distribution ( $V_D$ ) of [ $^{125}\text{I}$ ]-Histone or [ $^3\text{H}$ ]-Albumin after 1 to 10 Minute Perfusions in Rat Brain In Vivo

Protein	Brain Fraction	Time (min)			
		1	2.5	5	10
[ $^{125}\text{I}$ ]-histone	Homogenate	5.1 $\pm$ 3.5	20 $\pm$ 10	64 $\pm$ 13	159 $\pm$ 70
	Supernatant	1.6 $\pm$ 0.5	1.4 $\pm$ 0.1	12 $\pm$ 4	12 $\pm$ 5
	Pellet	0.9 $\pm$ 0.5	10 $\pm$ 8	39 $\pm$ 9	118 $\pm$ 59
[ $^3\text{H}$ ]-albumin	Homogenate	2.2 $\pm$ 0.7	2.8 $\pm$ 0.4	18 $\pm$ 7	17 $\pm$ 7

Data are mean  $\pm$  S.E. (n = 3-7). Reported as  $V_D$ ,  $\mu\text{L/g}$ .

Clearance of [ $^{125}\text{I}$ ]-Histone and [ $^3\text{H}$ ]-Albumin from Blood following a Single Intravenous Injection

The decay is plasma [ $^{125}\text{I}$ ]-histone that was TCA precipitable and the decay in plasma [ $^3\text{H}$ ]-albumin following a single intravenous injection is shown in Fig. 6. The [ $^3\text{H}$ ]-albumin data could not be fit to a

-28-

biexponential function, but did fit a monoexponential function. The [ $^{125}\text{I}$ ]-histone blood data could not be fit to either a monoexponential or a triexponential function but did fit to a bioexponential function, and the intercepts and slopes of the two exponential decays are given in Fig. 6.

Following rapid clearance from blood, [ $^{125}\text{I}$ ]-histone was cleared monoexponentially with a half-time of  $2.0 \pm 0.5$  hours, and this value was about 40% of the half-time for [ $^3\text{H}$ ]-albumin clearance,  $4.8 \pm 1.8$  hours (see Fig. 6). The 60-minute brain  $V_D$  of [ $^{125}\text{I}$ ]-histone and the ratios of the 60-minute [ $^{125}\text{I}$ ]-histone  $V_D$ /[ $^3\text{H}$ ]-albumin  $V_D$  for brain and nine other organs are shown in Table 3. The 60-minute  $V_D$  data are shown because, with the exception of testis and small intestine, brain and the other organs reached maximal organ distribution by 60 minutes. The 180-minute  $V_D$  was 41% and 53% higher than the 60-minute  $V_D$  for testis and small intestines, respectively. The 180-minute  $V_D$  was 40% lower than the 60-minute  $V_D$  for brain, lung, and spleen. The  $V_D$  was essentially unchanged at 60 or 180 minutes for liver, heart, kidney, muscle, or fat.

Table 3. Volumes of Distribution ( $V_D$ ) of Histone and Albumin at 60 Minutes Following Intravenous Injection

5	Organ	Ratio of		
		Histone $V_D$ (ml/g)	Albumin $V_D$ (ml/g)	$V_D$ -Histone/ $V_D$ -Albumin
	Kidney	7.9±0.5	0.36±0.02	22.3±1.4
	Muscle	0.71±0.01	0.036±0.002	19.6±0.2
10	Spleen	6.0±0.8	0.35±0.03	17.5±2.4
	Small Intestine	2.0±0.7	0.11±0.01	17.4±6.1
	Liver	4.6±0.2	0.34±0.02	13.7±0.7
	Lung	5.1±0.3	0.38±0.03	13.3±0.7
15	Brain	0.17±0.02	0.017±0.002	10.0±1.2
	Testis	0.68±0.04	0.086±0.011	7.9±0.5
	Fat	0.32±0.02	0.048±0.006	6.7±0.5
	Heart	0.94±0.10	0.21±0.03	4.5±0.5
20	$V_D$ shown are data obtained 60 minutes after single intravenous injection. Data are mean ± S.E. (n = 3).			

This example demonstrates that [ $^{125}$ I]-histone binds both the luminal and antiluminal sides of the brain capillary. The in vivo perfusion studies in Table 2 showing the very high microvascular pellet  $V_D$  for [ $^{125}$ I]-histone relative to [ $^3$ H]-albumin, demonstrate that [ $^{125}$ I]-histone is bound by the luminal membrane of the brain capillary. Conversely, there must also be binding to the antiluminal membrane to explain the rapid binding within 5 seconds of incubation with isolated bovine brain microvessels (see Fig. 3).

The binding of the [ $^{125}$ I]-histone to brain microvessels is temperature-dependent (Fig. 3) and is saturable (Fig. 2). The saturation  $ED_{50}$  of 14  $\mu$ M histone indicates that the capacity of the histone



uptake system is very high. For example, the saturation ED<sub>50</sub> for cationized albumin was 0.05 mg/ml (0.7  $\mu$ M) (Kumagai et al.: Absorptive-mediated endocytosis of cationized albumin and a  $\beta$ -endorphin-cationized albumin chimeric peptide by isolated brain capillaries. Model system of blood-brain barrier transport. J. Biol. Chem. 262: 15214-15219, 1987), and for cationized immunoglobulin was 1 mg/ml (6  $\mu$ M) (Triguero et al.: Cationization of immunoglobulin G (IgG) as a new strategy for enhanced IgG delivery through the blood-brain barrier. Clin. Res. 37: 140A). The B<sub>max</sub> for histone of  $7.7 \pm 1.0$  nmol/mg protein is approximately five-fold the B<sub>max</sub> for cationized immunoglobulin G and is approximately ninety-fold greater than the B<sub>max</sub> for binding of cationized albumin. The differing B<sub>max</sub> values for the various polycationic proteins suggests that these molecules bind to different groups of negative charges on the brain capillary endothelial membrane. For example, cationized albumin only weakly inhibits histone uptake, whereas cationized immunoglobulin has no effect, as polylysine (see Table 1).

Histone undergoes absorptive-mediated endocytosis into brain capillary endothelial cytoplasm following its binding to the surface of the capillary, as demonstrated by the resistance to mild acid wash (Fig. 3). Moreover, the data in Table 2 show that approximately 8% of the total histone bound and endocytosed by the brain capillary undergoes exocytosis into the brain interstitium in vivo, which completes an overall pathway of transcytosis through the capillary endothelium.

All of the references set forth in the preceding examples are hereby incorporated by reference.

Having thus described exemplary embodiments of the present invention, it should be noted by those skilled in the art that the within disclosures are exemplary only and that various other alternatives, adaptations

and modifications may be made within the scope of the present invention. Accordingly, the present invention is not limited to the specific embodiments as illustrated herein, but is only limited by the following

5 claims.

What is claimed is:

1. A chimeric peptide adapted for delivering a neuropharmaceutical agent into the brain by transcytosis through the blood-brain barrier, said chimeric peptide comprising a transportable peptide capable of crossing  
5 the blood-brain barrier by transcytosis conjugated with said neuropharmaceutical agent, wherein said transportable peptide is histone.

2. A chimeric peptide according to claim 1 wherein said histone is isolated from a human source.

3. A chimeric peptide according to claim 1 wherein said histone is selected from a class I-V type of histone.

4. A chimeric peptide according to claim 2 wherein said histone is selected from a class I-V type of histone.

5. A chimeric peptide according to claim 1 wherein said neuropharmaceutical agent is a hydrophilic peptide.

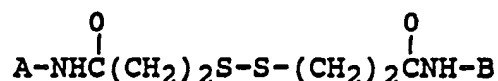
6. A chimeric peptide according to claim 5 wherein said neuropharmaceutical agent is selected from the group consisting of somatostatin, thyrotropin releasing hormone, vasopressin, alpha interferon, endorphin,  
5 muramyl dipeptide and L-methionyl(sulfone)-L-glytanyl-L-histidyl-L-phenylalanyl-D-lysyl-L-phenylalanine.

7. A chimeric peptide according to claim 1 wherein said transportable peptide and neuropharmaceutical agent are conjugated via a conjugation agent.

8. A chimeric peptide according to claim 1 wherein

said conjugation agent is capable of conjugating the transportable peptide to said neuropharmaceutical agent by peptide thiolation or lysine coupling via glutaraldehyde.

9. A chimeric peptide according to claim 1 having the formula



5 wherein A is a neuropharmaceutical agent and B is histone.

10. A chimeric peptide according to claim 9 wherein A is selected from the group consisting of somatostatin, thyrotropin releasing hormone, vasopressin, alpha interferon, endorphin, muramyl dipeptide and L-methionyl(sulfone)-L-glytamyl-L-histidyl-L-phenylalanyl-D-lysyl-L-phenylalanine.

11. A composition comprising a chimeric peptide according to claim 1 and a pharmaceutically acceptable carrier for said chimeric peptide.

12. A composition according to claim 11 wherein said pharmaceutically acceptable carrier is sterile saline.

13. A method for delivering a neuropharmaceutical agent into the brain of an animal by transcytosis through the blood-brain barrier comprising the step of introducing a chimeric peptide according to claim 1 into the bloodstream of said animal in a sufficient amount to provide transport of said chimeric peptide across said blood-brain barrier.

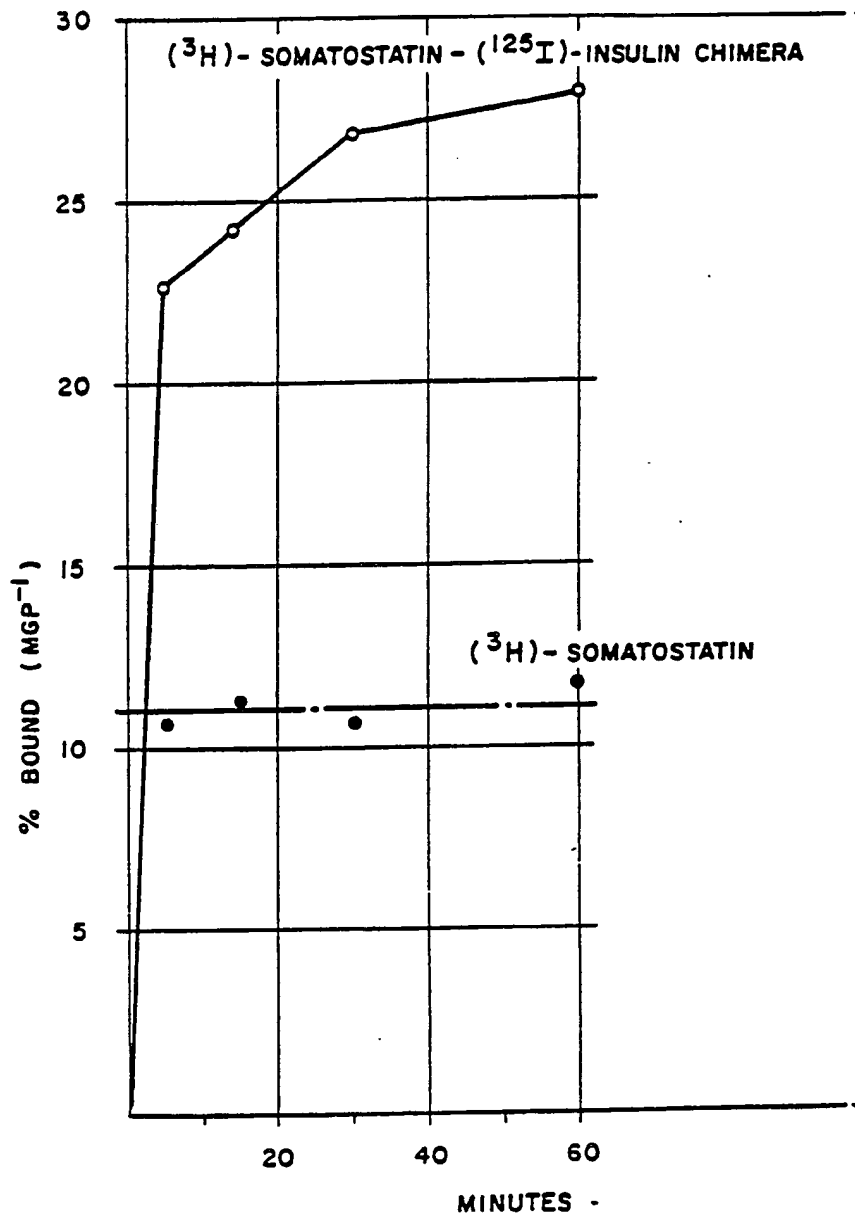
14. A method according to claim 13 wherein said chimeric peptide is introduced intranasally into the subject's bloodstream.

15. In a method for introducing a hydrophilic neuropeptide into the brain across the blood-brain barrier, wherein the improvement comprises increasing the rate at which said neuropeptide crosses the blood-brain barrier by conjugating said neuropeptide with histone.

16. The improved method according to claim 15 wherein said histone is isolated from a human source.

17. The improved method according to claim 15 wherein said histone is selected from a class I-V type of histone.

18. The improved method according to claim 16 wherein said histone is selected from a class I-V type of histone.

*Fig. 1.*

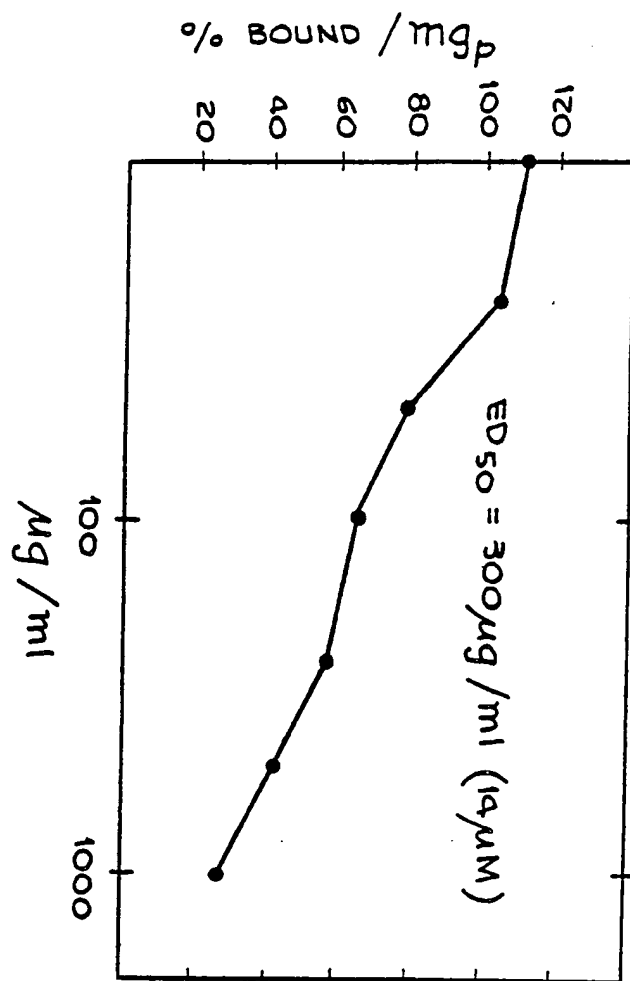


Fig. 2.

Fig. 3a

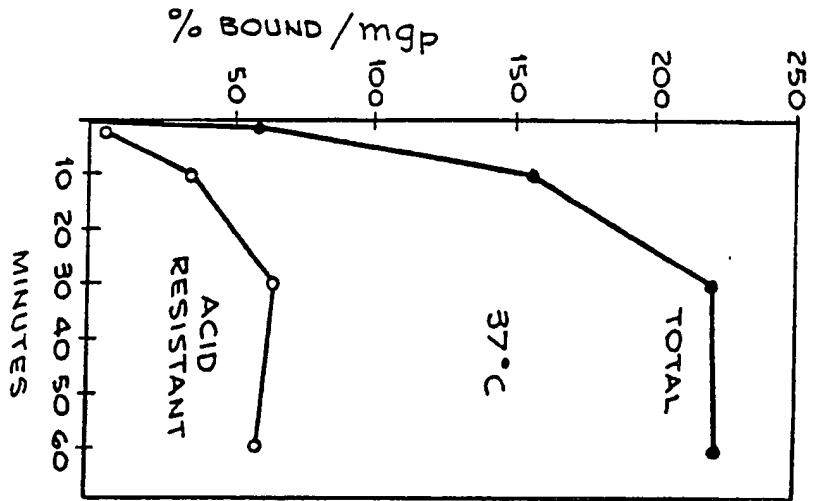
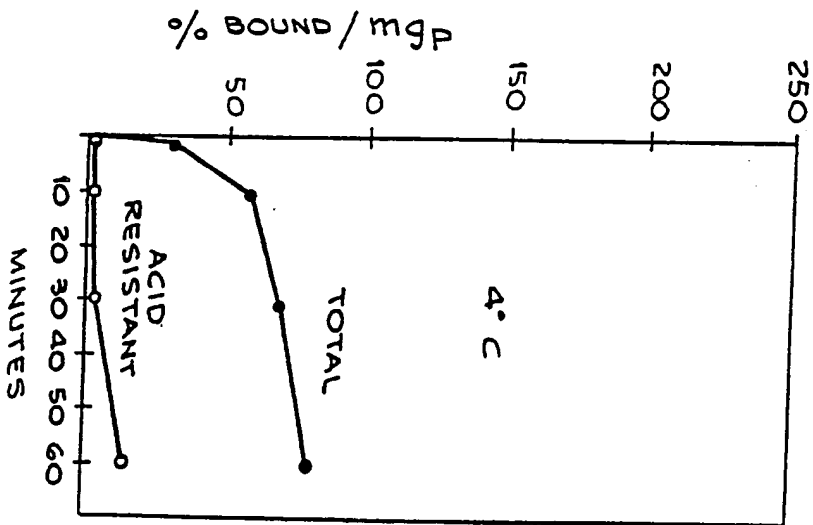
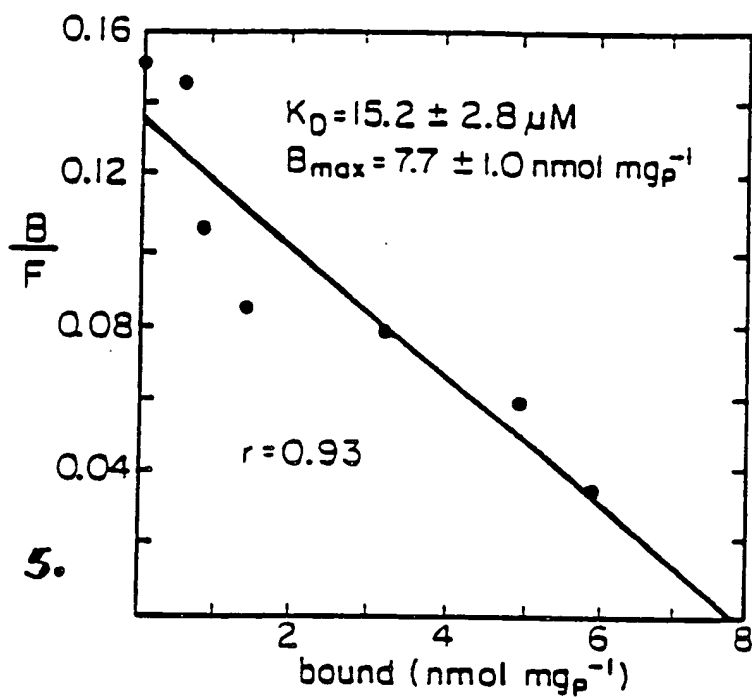
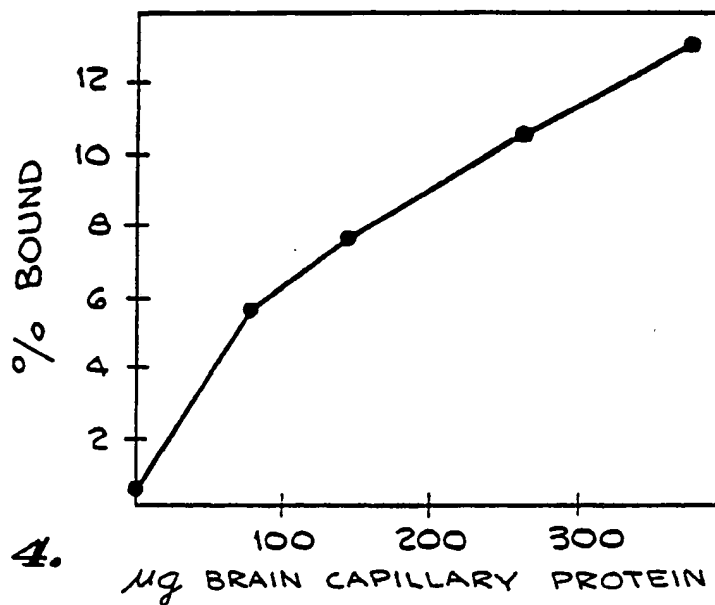


Fig. 3b





*Fig. 5.**Fig. 4.*

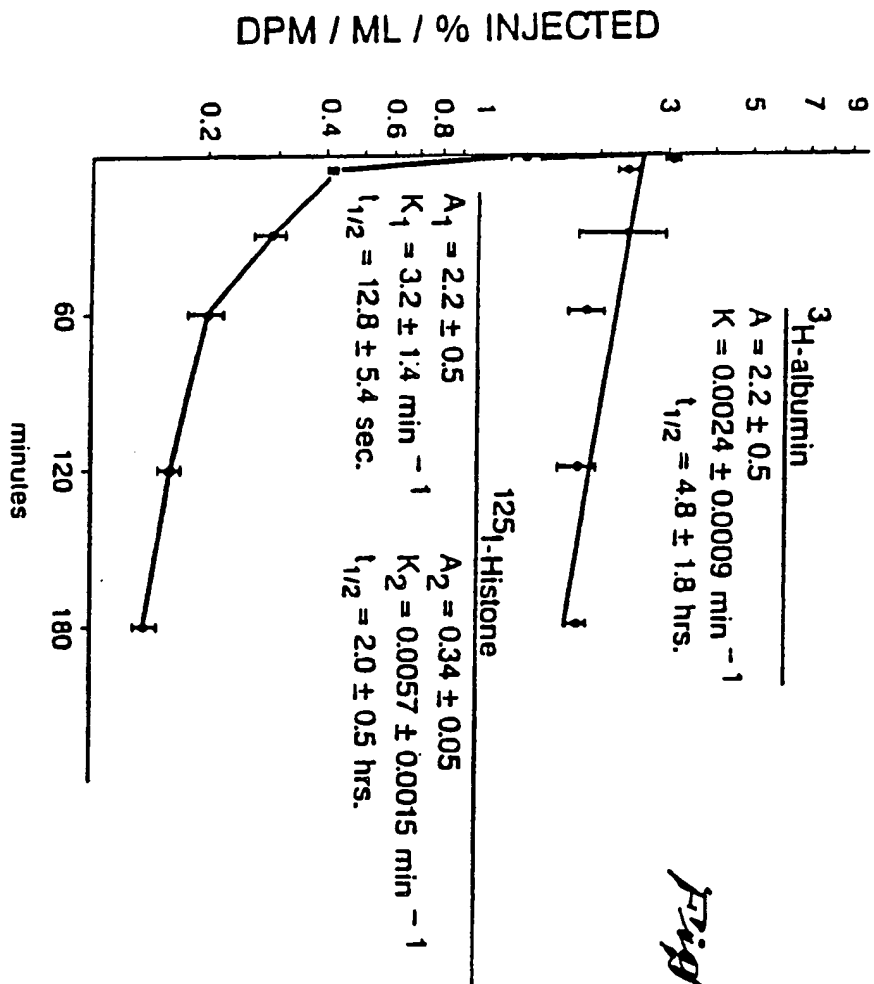


Fig. 6.

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US 89/01589

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) *		
According to International Patent Classification (IPC) or to both National Classification and IPC IPC <sup>4</sup> : A 61 K 37/02, 37/26, 45/02, //(A 61 K 37/26, 37:02), (A 61 K 45/02, 37:02)		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
IPC <sup>4</sup>	A 61 K, C 07 K	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> *		
Category <sup>9</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	WO, A, 88/00834 (THE REGENTS OF THE UNIVERSITY OF CALIFORNIA) 11 February 1988 see the whole document --	1-12,15-18
X	Chemical Abstracts, volume 107, no. 12, 21 September 1987, (Columbus, Ohio, US), W.M. Pardridge et al.: "Chimeric peptides as a vehicle for peptide pharmaceutical delivery through the blood-brain barrier", see page 379, abstract 102538b, & Biochem. Biophys. Res. Commun. 1987, 146(1), 307-13 -----	1-12,15-18
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search 14th August 1989	Date of Mailing of this International Search Report 19. 09. 89	
International Searching Authority EUROPEAN PATENT OFFICE	Signature of Authorized Officer <div style="text-align: right; font-family: cursive;">T.K. WILLIS</div>	

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V. ☒ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE :

This International search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☒ Claim numbers **XX**..... because they relate to subject matter not required to be searched by this Authority, namely:

**xx 13,14**

**pls. see Rule 39.1 (IV) - PCT**

**Methods for treatment of the human or animal body by surgery or therapy as well as diagnostic methods.**

2. ☐ Claim numbers..... because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claim numbers..... because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING :

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

US 8901589  
SA 28164

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.  
The members are as contained in the European Patent Office EDP file on 06/09/89  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A- 8800834	11-02-88	US-A- 4801575	31-01-89
		EP-A- 0276278	03-08-88
		JP-T- 1500901	30-03-89
-----			

EPO FORM P479

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82